

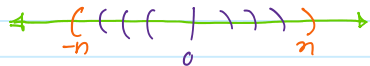
17 - §26 _ compact Spaces

Thursday, March 21, 2024 11:01 AM

Defⁿ: A space X is **compact** if every open cover admits a finite subcover

Example

1.) \mathbb{R} is not compact



2.) $X = \{0\} \cup \{1/n \mid n \in \mathbb{Z}_+\} \subseteq \mathbb{R}$

X is compact

↳ **proof**

Let \mathcal{L} be an open cover of X . Since $0 \in X$ there is an open set $U \in \mathcal{L}$ containing 0 . Note that: U contains all but finitely many points of $\{1/n \mid n \in \mathbb{Z}_+\}$ (since 0 is the limit of $1/n$ and X is a subspace of \mathbb{R} .)

For each point of X excluded from U pick a set of \mathcal{L} containing it.

Together with U these open sets form a finite subcover of X .

Thus X is compact ■

if 0 was not in X then X would not be compact.

So having finitely many points implies it is compact.

Examples of non-compact spaces

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$$1) \{ B_{\frac{1}{2}}(x) \cap (0, 1] \mid x = \min(x, 1) \}$$

$$2) \{ (1/n, 1] \mid n \in \mathbb{Z}_+ \} \subseteq (0, 1)$$

find an open cover with no finite subcover

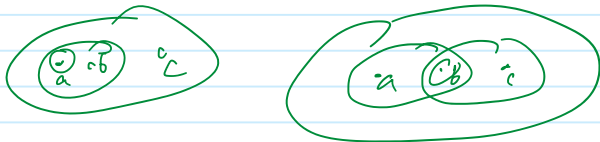
$(0, 1] \subseteq \mathbb{R}$ is not compact

find an example why

Hausdorff condition is needed

→ examples of non-Hausdorff spaces

\mathbb{R} finite complement



trivial topology

compact set every subset is compact.

compact sets finite sets are closed

Lemma 26.1

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Defn: If Y is a subspace of X , a collection \mathcal{A} of subsets of X is said to cover Y if the union of the elements of \mathcal{A} contains Y .

Lemma 26.1

Let Y be a subspace of X .

Then Y is compact iff every covering of Y by sets open in X contains a finite subcollection that covers Y .

Proof. (\Rightarrow)

Suppose that Y is compact and $\mathcal{A} = \{A_\alpha\}_{\alpha \in J}$ is a covering of Y by sets open in X .

Then the collection $\{A_\alpha \cap Y \mid \alpha \in J\}$ is a cover of Y by sets open in Y .

Since Y is compact, then we have a finite subcover

$$\{A_{\alpha_1} \cap Y, A_{\alpha_2} \cap Y, \dots, A_{\alpha_n} \cap Y\}$$

Hence

$$\{A_{\alpha_1}, \dots, A_{\alpha_n}\} \text{ is our}$$

desired sub-collection of sets open in X that cover Y .

(\Leftarrow)

Suppose the given condition holds

Let $A = \{A'_\alpha\}_{\alpha \in J}$ be an open cover of Y .

\uparrow these are open in Y

For each α , choose a set A_α open in X and so that $A_\alpha = A'_\alpha \cap Y$

Note: $\{A_\alpha\}_{\alpha \in J}$ is covering of Y by sets open in X .

By assumption we have a finite subcollection $\{A_{\alpha_1}, \dots, A_{\alpha_n}\}$ that covers Y .

Thus $\{A'_{\alpha_1}, \dots, A'_{\alpha_n}\}$ is the finite subcover of A which is what we wanted to show \square

theorem 26.2

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Every closed subspace of a compact space is compact.

Proof: Let Y be a closed subspace of a compact space X . Give a covering \mathcal{A} of Y by sets open in X .

Form an open cover \mathcal{B} of X by adjoining to \mathcal{A} , the open set $X - Y$, i.e.

$$\mathcal{B} = \mathcal{A} \cup \{X - Y\}$$

Since X is compact \mathcal{B} admits a finite subcover \mathcal{B}' .

If B_i contains $X - Y$, throw it out.

Otherwise, leave B_i alone.

Note: $\mathcal{B}' \subseteq \mathcal{A}$ and thus the desired finite subcollection.

So Y is compact by Lemma 26.1 ■

Theorem 26.3 / lemma 26.4

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Thm 26.3

Every compact subspace of a Hausdorff space is closed.

Lemma 26.4

If Y is compact subspace of a Hausdorff space X and $x_0 \in X$ is not in Y .

then there exists disjoint open sets U and V of X containing x_0 and Y , respectively.

18 - § 26 _ compact Spaces

Tuesday, April 2, 2024 11:01 AM

What about compactness and continuity

Theorem 26.5

The image of a compact space under a continuous map is compact.

Proof

Let $f: X \rightarrow Y$ be a continuous map

Let X be compact

Let \mathcal{A} be a cover of $f(X)$ by sets open in Y

The collection of open sets:

$\{f^{-1}(A) \mid A \in \mathcal{A}\}$ is a collection of open sets covering X .

Hence, by compactness we have a finite subcover

$\{f^{-1}(A_1), \dots, f^{-1}(A_n)\}$ of X

Thus we have constructed a finite subcover

$\{A_1, \dots, A_n\}$ of \mathcal{A} covering $f(X)$ \square

Theorem 26.6/26.2

Tuesday, April 2, 2024 11:10 AM

Let $f: X \rightarrow Y$ be a bijective, continuous function.

If X is compact and Y is Hausdorff then f is a homeomorphism

Proof WTS that f^{-1} is cont.

Suffices to show that the image of a closed set X under f is closed.

If A is closed in X then it is compact

Since X is compact, by 26.2

Theorem 26.5 tells us that $f(A)$ is compact because -

- f is continuous
since Y is Hausdorff then

$f(A)$ is closed in Y . \square

§28 limit point compactness

Tuesday, April 2, 2024 11:16 AM

Weaker than compactness
in general But
it coincides for metric spaces

Defn: A space is limit point \sim
compact

if every infinite subset of X
has a limit point

note: This is often called Fréchet
compactness and the Bolzano Weierstrass
property

Thm 28.1 - Compactness implies
limit point compactness
but not conversely

proof Let X be a compact
space and let A be an infinite
subset of X .

Suppose to the contrary that A
does not have a limit point.

Thus, A contains all of its limit
points (vacuously)

Hence A is closed.

We can build a cover of A
as follows for each $a \in A$
pick a nbhd U_a st.

$U_a \cap A = \{a\}$ - note,
this is allowed b/c
 a is not a limit pt.
of $\forall a \in A$

\Rightarrow that $\mathcal{U} = \{U_a \mid a \in A\} \cup \{X - A\}$
is an open cover of X

Since X is compact, there is a

Since X is compact, there is a finite subcover \mathcal{A} of \mathcal{U}

Note: that this implies that A is finite.

Since, each $U_a \in \mathcal{A}$ covers at most one point of A .

This contradicts the assumption A is infinite \downarrow

Ex: $Y = \{y_1, y_2\}$ with the trivial topology

$X = \mathbb{Z}_+ \times Y$ with product topology

$$X := \{ \mathbb{Z}_+ \times y_1, \mathbb{Z}_+ \times y_2 \}$$

open

for \mathcal{U} is a cover
 $U_n = \{n\} \times Y$

compact \rightarrow \rightarrow compact

X has a finite subcover
so

Corollary

every point is a limit point
by

every nbhd of $\mathbb{Z}_+ \times y_1$ contains $\mathbb{Z}_+ \times y_2$

~~Sketch idea~~

an arbitrary point contains of the form $\mathbb{Z}_+ \times y_1$ or $\mathbb{Z}_+ \times y_2$

every open set is covers the entirety of Y

every open set covers the entirety of X

So if U is open in X

$\Rightarrow U$ is open in $\mathbb{Z}_+ \times Y$

and for $n \in \mathbb{Z}_+$

there is no finite subcollection

$$\{n\} \times Y := \{n\} \times y_1$$

$$\left. \begin{array}{l} (w, y_1) \in U \\ \Rightarrow (w, y_2) \in U \end{array} \right\} \left. \begin{array}{l} \{n\} \times y_2 \\ \{n\} \times y_1 \end{array} \right\} W \subseteq X$$

subset nbhd of (w, y_2) it contains (w, y_1)

\Rightarrow every non-empty subset of X has a limit point.

Not Compact

$$\{a \times Y \mid a \in \mathbb{Z}_+\}$$

Defn: Let X be a topological space.

iff (x_i) is a sequence of points in X

and if $n_1 < n_2 < \dots < n_i$ is an

increasing sequence of positive ints.

then the sequence (y_i) defined by

$y_i = x_{n_i}$ is a subsequence of (x_n)

So the space X is sequentially compact.

... ..

we define x as $\lim_{n \rightarrow \infty} x_n$
compact.

if every sequence in X
has a convergent subsequence.

Theorem 28.2

Tuesday, April 2, 2024 12:01 PM

Let X be a metrizable space.

Then the following are equivalent

for not metrizable
needs metrizable

- 1.) X is compact
- 2.) X is limit point compact
- 3.) X is sequentially compact

needs metrizable space

example: The long line Z

$$Z = S_{\Omega} \times [0, 1)$$

minim. uncountable well-ordered set.

idea build \mathbb{R} as $\mathbb{Z} \times [0, 1)$ but ...

the minimal way the cardinality of \mathbb{R} but this must be verified

Claim: Z is not metrizable

idea: Z is not compact but is sequentially compact.

Open cover: $\{ [0, \alpha) \mid \alpha < \Omega \}$ is an open cover of Z with no countable subcover

$$\bigcup \{ [0, \alpha_x) \mid x \in X \} = [0, \sup \{ \alpha_x \mid x \in X \})$$

Sequentially Compact,

(x_i) a sequence in Z
there is an ordinal α st. (x_i) is contained $[0, \alpha]$ which is homeomorphic to $[0, 1]$

19 - §29 - local compactness

Thursday, April 4, 2024 11:02 AM

What do I think is local compact

Let X be a Hausdorff top.

Let U and V be open subsets of X

When U and V are disjoint

if \mathcal{B} is a basis

then there exists

$U \subset B_1$ and $V \subset B_2$

st. there is B_3

fine $B_3 \supset B_1 \cap B_2$

is locally compact

Defn: we say that a space X is locally compact at a point x if there is some neighborhood U of x and some compact subspace C of X st. $U \subset C$

X is locally compact if it is locally compact at every $x \in X$

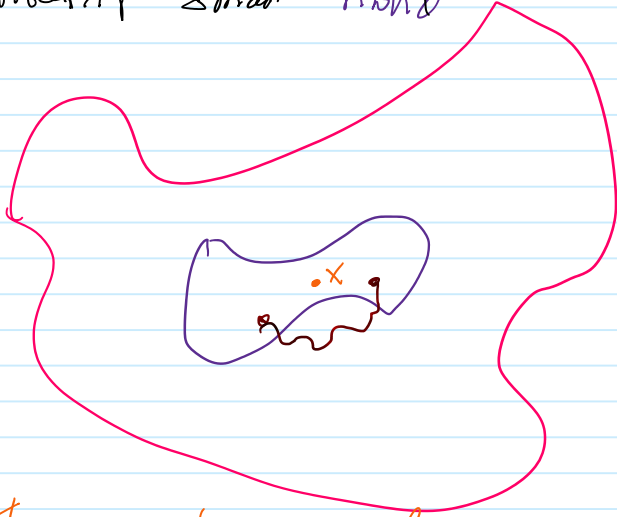
Note: • Compact spaces are always locally compact

• path connected spaces are not necessarily locally compact

typical local property

↑ arbitrary holds for

↑ arbitrary holds for
arbitrarily small nbhd



The point x is locally compact

class work

Thursday, April 4, 2024

11:02 AM

① Is \mathbb{R} locally compact?

yes \mathbb{R} is locally compact
take any $(a,b) \in \mathbb{R}$
so $(a,b) \subseteq [a,b]$

② What about $\mathbb{Q} \subseteq \mathbb{R}$

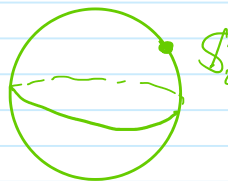
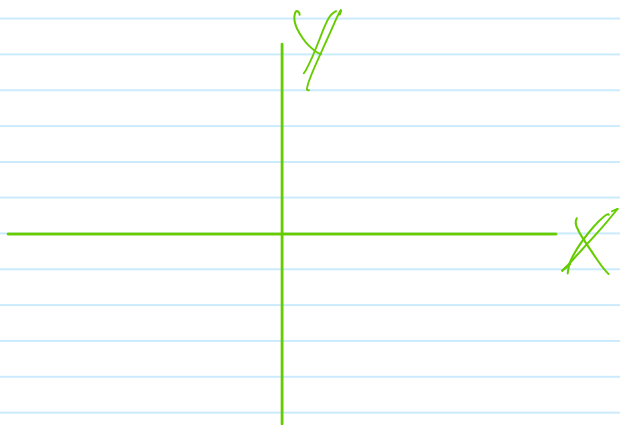
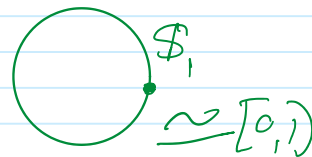
no because an open ball
in \mathbb{Q} that's open in \mathbb{R}
is not closed

so every intersection is open in \mathbb{R}

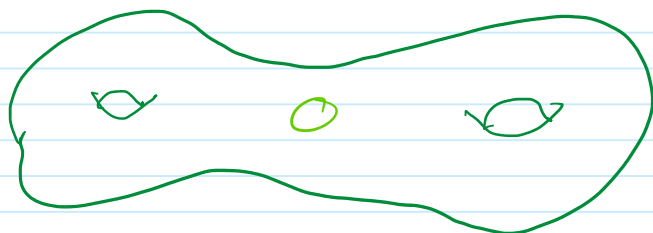
What is the one-point compactification
of \mathbb{R} ?

$(0,1) \cong \leftarrow \mathbb{R} \rightarrow$ add a point
at ∞

What about \mathbb{R}^2 ?



What about



Theorem 29.1

Thursday, April 4, 2024 11:25 AM

Let X be some topological space
Then X is locally compact and Hausdorff if and only if we have the following auxiliary space satisfying the following

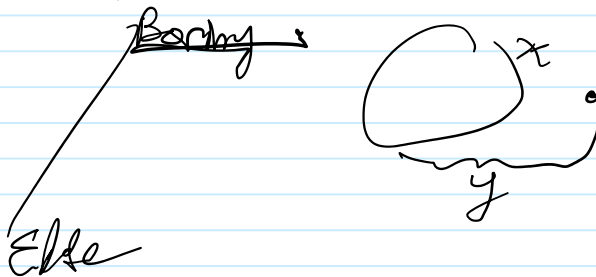
Let Y be an auxiliary space such that

- 1.) X is a subspace of Y
- 2.) The set $Y-X$ is a single point
- 3.) Y is a compact Hausdorff space

If Y and Y' are two spaces satisfying these conditions,

then there is a homeomorphism of Y and Y' which is the identity on X . (uniqueness)

Note: If X is compact then Y is obtained from X by adding a single isolated point



the point in $Y-X$ is a limit point of X , so $\bar{X} = Y$

Defn: If Y is a compact Hausdorff space, and X is a proper subspace of Y whose closure is equal to Y ,

then we call Y a compactification of X

If $Y-X$ is a single point,

If $\gamma \rightarrow X$ is a single point,

then γ is the one-point-
compactification of X

Rewriting the theorem in new terms

X is locally compact Hausdorff space

iff it admits a one-point
compactification

Theorem 29.2

Thursday, April 4, 2024 11:41 AM

Let X be a Hausdorff space.

Then X is locally compact iff
given $x \in X$ and given a nbhd \mathcal{U} of x there is a nbhd V of x such that \bar{V} is compact and $\bar{V} \subseteq \mathcal{U}$

Proof: (\Leftarrow) Immediate "for free"
since $x \in V \subseteq V$ for any $x \in X$

(\Rightarrow) Suppose X is locally compact

Let $x \in X$ and let \mathcal{U} be a nbhd of x .

Let Y be the one-point compactification of X and

let $C = Y - \mathcal{U}$ be the complement of \mathcal{U} and \mathcal{U}

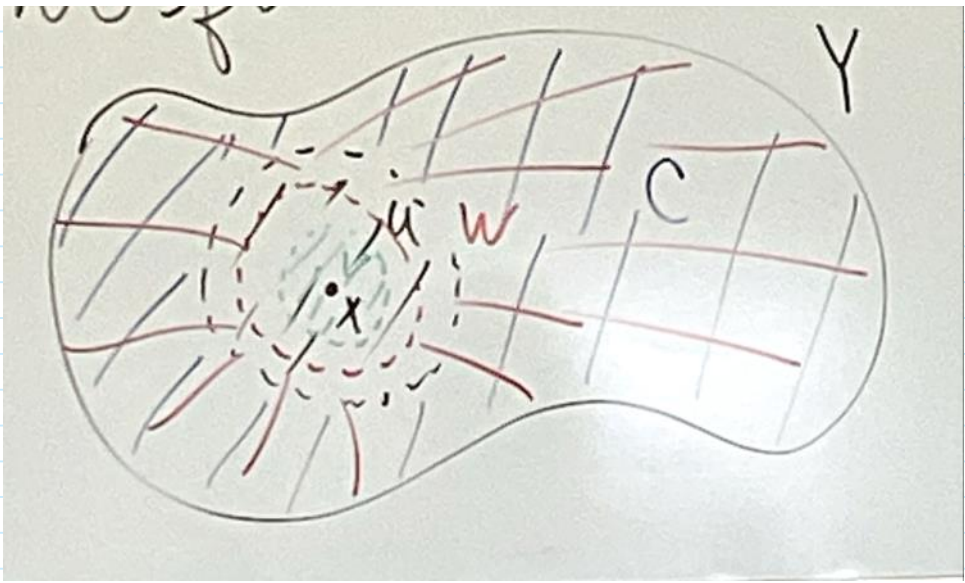
$\Rightarrow C$ is closed and thus compact since Y is compact

Recall that we can choose open sets V and W of x and C respectively such that

$$V \cap W = \emptyset \quad \text{by lemma 26.4}$$

Note! \bar{V} is compact and also disjoint from C .

Thus, $\bar{V} \subseteq \mathcal{U}$ \blacksquare



Corollary 29.3

Thursday, April 4, 2024 12:00 PM

Let X be locally compact Hausdorff
let A be a subspace of X .

iff A is either open or closed in X
then A is locally compact.

Proof Suppose A is closed in
 X .

Given $x \in A$
let C be a compact subspace of
 X containing a nbhd U of x

Then $C \cap A$ is closed in C
and thus compact.

further, $x \in U \cap A \subseteq C \cap A$

(not using Hausdorff)

Suppose A is open in X .

Given $x \in A$ applying Thm 29.2
to choose a nbhd V of x in X
such that \bar{V} is compact and $\bar{V} \subseteq A$

Then $C = \bar{V}$ is a compact subspace
of A containing the nbhd V
of x in A ($V \subseteq \bar{V} \subseteq A$)

■

Corollary 29.4

Thursday, April 4, 2024 12:06 PM

A space X is homeomorphic to an open subspace of a compact Hausdorff space iff X is locally compact Hausdorff.

20 - The countability separation axioms

Tuesday, April 9, 2024

11:03 AM

Recall § 21

A space X has a **countable basis at point x** if there is a countable collection $\{U_n\}_{n \in \mathbb{Z}_+}$ of nbhd's of x

such that any nbhd V of x contains at least one of the U_n .
A space X is **first-countable** if it has a countable basis at each $x \in X$.

To prove the sequence lemma we saw that we only needed **first-countability** not metrizable.

Lemma 21.2

Let X be a topological space;
let $A \subseteq X$.

If there is a sequence of points in A which converge to x , then $x \in \bar{A}$.
The converse **IS** true if X **IS** metrizable.

We have two separation axioms
(so far)

- 1.) Hausdorff axiom
- 2.) T_1 axiom

metrizable: Sufficient condition to guarantee metrizable.

Need another separation axiom (regularity) and another countability axiom. (second-countability)

Theorem 30.1

Tuesday, April 9, 2024 11:03 AM

Let X be a topological space;

Let $A \subseteq X^{(\mathbb{N})}$,

If there is a sequence of points in A which converge to x .

Then $x \in A$

The converse is true if X is first countable.

Let $f: X \rightarrow Y$

if f is continuous then

for every convergent sequence

$$x_n \rightarrow x \quad f(x_n) \rightarrow f(x)$$

The converse holds if X is first-countable

Defn! if X has a countable basis, we say it is second-countability or satisfies the second-countability axiom.

(Countable Basis)

Note: Second-countable \Rightarrow first-countable

Q: An example of a second-countable space?

$(p, q) \subseteq \mathbb{R}^n$ // examples of manifolds

$p, q \in \mathbb{Q}$

\mathbb{R}^n w/ open balls of ε -radius

Is every metric space first-countable?

No, because \mathbb{R}_d with the discrete topology serves as a counterexample

Since singletons are open but \mathbb{R} is uncountable.

Example of a second-countable space

Tuesday, April 9, 2024

11:42 AM

manifolds

\mathbb{R}^{ω} with the uniform topology (metrizable)
is not second-countable

$$\bar{d}(x, y) = \sup \{ d(x_n, y_n) \}$$

||

$$\sup_{n \in \mathbb{N}} \{ |x_n - y_n| \}$$

Claim:

If X is a space with a countable basis, then any discrete subspace A of X is countable.

Proof of claim:

for each $a \in A$, choose a basis e_a .
 $B_a \in \mathcal{B}$ such that $B_a \cap A = \{a\}$.

Note: $B_a \neq B_b$ for $a \neq b$

Since $a \in B_b$

Thus, the map $a \mapsto B_a$ is injective
from $A \rightarrow \mathcal{B}$

$\therefore A$ is countable

Step 2:

Produce an uncountable, discrete subspace A of X

Consider A which consists of all sequences of 0's and 1's

Note that A is uncountable.

For any two distinct points $a, b \in A$

Then $\overline{f(a,b)} = \{a, b\}$

The subspace topology on A is the discrete topology.



Theorem 30.2

Tuesday, April 9, 2024 11:53 AM

A subspace of a 1st-countability (resp. 2nd) space is first-countability (resp. 2nd)

The countable product of 1st-count. (resp. 2nd) space is 1st count. (resp. 2nd)

Proof

We will prove this for second-countability.

If \mathcal{B} is a countable basis for X ,
then $\{B \cap A \mid B \in \mathcal{B}\}$ is a countable basis for a subspace A of X

If \mathcal{B} is a countable basis for X_0
then the collection of all products
 $\prod U_i$ where $U_i \in \mathcal{B}$ for finitely many
values of i and $U_i = X_i$,
otherwise, forms a countable basis for $\prod X_i$.

Consequences of 2nd-countability

Defⁿ: A set A is **dense** in a space X

if $\overline{A} = X$

Theorem 30.3

Tuesday, April 9, 2024 12:00 PM

Suppose that X has a countable basis.

Then:

a.) every open cover of X has a countable subcover

b.) There exists a countable set which is dense in X .

Proof:

Let $\{B_n\}$ be a countable basis for X .

a.) Let \mathcal{A} be an open cover of X .

For each $n \in \mathbb{Z}_+$, for which it is possible, choose $A_n \in \mathcal{A}$ containing B_n .

Call this $\mathcal{A}' = \{A_n\}$.

Notice that for $\mathcal{A}' \subseteq \mathcal{A}$ forms the injective correspondence

$$\mathcal{A}' \rightarrow B_n$$

Hence \mathcal{A}' is countable.

Given $x \in X$, there is an $A \in \mathcal{A}$ st.

$x \in A$.

Note: there $\exists B_n \in \mathcal{B}$ st. $x \in B_n \subseteq A$

(since $\{B_n\}$ is a basis)

Thus $x \in B_n \subseteq A_n \in \mathcal{A}'$

So, \mathcal{A} covers X .

b.) From each non-empty basis element B_n pick a pt. x_n .

Let D be the collection of all such points.

By construction D is countable since $\{B_n\}$ is countable.

The D is dense in X :

Given any point $x \in X$,
every basis element containing x intersect
 D , so $x \in \overline{D}$ \blacksquare