

Remarks on Functions & Sets

Def A set is just a collection.

we denote sets by X, Y, Z, \dots

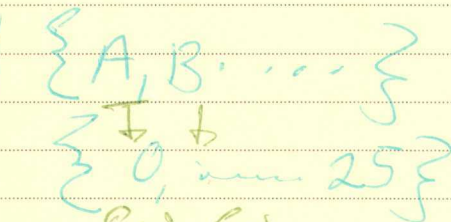
Example

$$X = \{A, B, \dots\}, X = \mathbb{R}$$

Suppose X & Y are sets
 Def. a function from X to Y denoted by the rule $f: X \rightarrow Y$

$\forall y \in Y$ gives $\forall x \in X$
 a unique $y \in Y$

$\forall x \in X$ we denote by
 $y = f(x)$



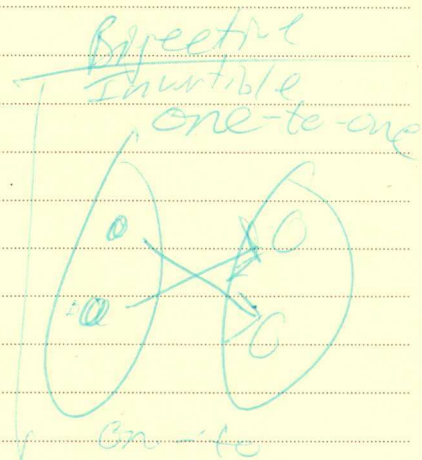
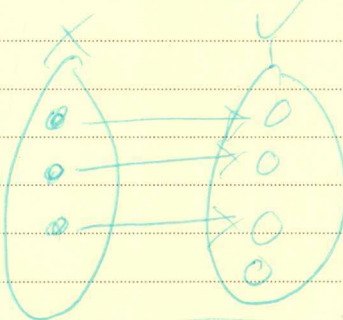
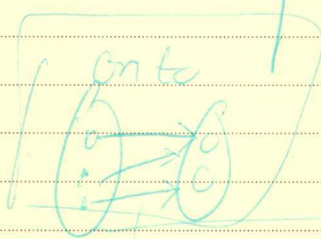
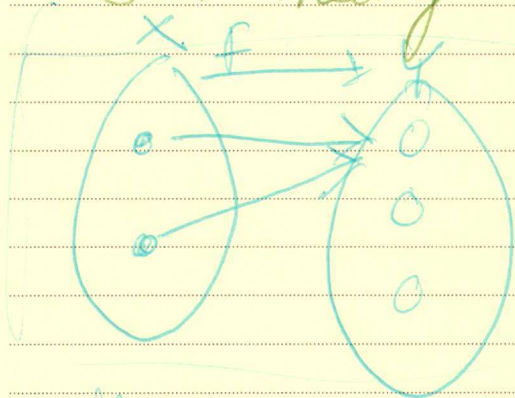
consider the rule

$$f: X \rightarrow Y$$

$$\{A, B, \dots, Z\} \mapsto \{0, 1, \dots, 25\}$$

x maps to y

Set Theory



Def

We say that the function is one-to-one

$$\text{if } \forall x_1 \neq x_2 \in X \text{ for all } i, j \text{ we have } f(x_i) \neq f(x_j)$$

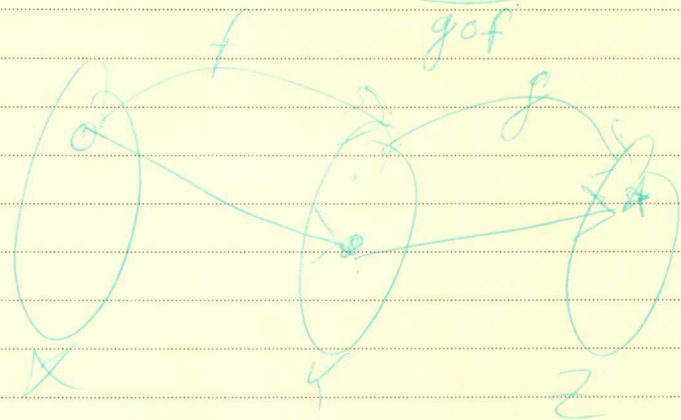
We say that the function is onto

$$\text{if } \forall y \in Y, \exists x \in X \text{ s.t. } f(x) = y$$

We say that the function f is invertible (bijective)
 if it is one-to-one & onto

The following are equivalent TFAE

Suppose $X \xrightarrow{f} Y \xrightarrow{g} Z$.



Defn The composition
of g and f denoted
by $g \circ f$ is given,
by $x \in X$
 $(g \circ f)(x) =$
 $g(f(x)) = z$

When bijective

$$(g \circ f) = \text{Identity} \iff \text{id}(x) = x$$

(a) $f: X \rightarrow Y$

f is bijective (invertible, 1-1, onto)

(b) $\exists g: Y \rightarrow X$ s.t. $\begin{cases} f \circ g = \text{id}_Y \\ g \circ f = \text{id}_X \end{cases}$

In this case g is the inverse of f

$$g = f^{-1}$$

① Introduction

Q - what is this about? A. Safe communication

Suppose Alice & Bob want to have a talk.

Suppose Charles might be in the vicinity.

How can Alice & Bob exclude Charles

(map)



Cryptography = ① + ②

Example:

① Caesar cipher - a type of shift cipher

Julius



Definition: ① the mapping of letters to another (not itself) -- BIJECTIVE (one-to-one/onto) means it has an inverse

$$e_3: \{A, B, \dots\} \rightarrow \{A, B, \dots\}$$

This is an example of encryptive transformation

The inverse map d_3 is an example of decryptive transformation

note $d_3 \circ e_3 = id$ ★ Identity

Remark! In the same way we could use

$$(e_k, d_k), k = 0, \dots, 25$$

k - "the key"

Notation

A cryptosystem is

P - set of plain texts
 C - set of Cipher texts
 K - set of Keys

and, ...

$\forall x \in K$, two functions

$$e_x: P \rightarrow C$$

encryption

$$d_x: C \rightarrow P$$

decryption

Such that $d_x \circ e_x = id_P$
(Sato.)

Remark:

If our message

$$M = x_0, x_1, x_2, \dots, x_r$$

$$e_x(M) = e_x(x_0) \dots e_x(x_r)$$

$$d_x(M)$$

(II)

Block Cipher

Apply encoding (= encryption) to blocks of letters

$$e.g. P = C = \{A, B, \dots\}$$

e will shift 1st, 3rd, ... letters by one

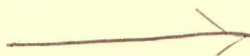
& 2nd, 4th, ... letters by two

(III) Stream Ciphers

The encryption transformation of a symbol depends on its position

e.g. $P = \{A, B, \dots\} = C$ (and maybe the previous symbols)

$e(i^{\text{th}} \text{ letter in } i^{\text{th}} \text{ pos.}) = \text{Shift it } p_i - \text{th prime encryption of the } i^{\text{th}} \text{ letter to the } i^{\text{th}} \text{ prime}$



Remember Integer $(\mathbb{Z}, \mathbb{Z} > 0)$

(continued)

$e(VENI VIDI VICI)$

Fact: Primes can be > 26 . \therefore we must use $P_i \pmod{26}$

	VENI	VIDI	VICI
\rightarrow	2, 3, 5, 7	11, 13, 17, 19	23, 3, 5, 11
\rightarrow	X H S P	G V V J	I L H T

pseudo-random-sequence

(iv) Public-key System

Knowing k = encryption key or E_x = encryption transformation
to arrive at the decryption (key) = d_k

Fact: Numbers are easier than letters

eg.	A	B	...	Z
	\downarrow	\downarrow		\downarrow
	0	1		25

Congruences:

Suppose $N > 0$

~~let~~ ~~define~~ *definition:*

Suppose

$a, b \in \mathbb{Z}$

let $a \text{ is } \equiv \text{ to } b \text{ modulo } N$

if $a \equiv b \pmod{N}$
 $b - a$ is a multiple of N

Fact

- (i)
- (ii)
- (iii)

$a \equiv a \pmod{N}$, $\forall a \in \mathbb{Z}$

$a \equiv b \pmod{N} \rightarrow b \equiv a \pmod{N}$, $\forall a, b \in \mathbb{Z}$

$a \equiv b \pmod{N}$ + $b \equiv c \pmod{N} \rightarrow a \equiv c \pmod{N}$

Fix $N > 0$

Fact: $\forall a \in \mathbb{Z}, \exists 0 \leq b \leq N-1$

s.t. $a \equiv b \pmod{N}$

Integers mod N

$$\mathbb{Z}_N = \{0, \dots, N-1\}$$

Integer Modulo N

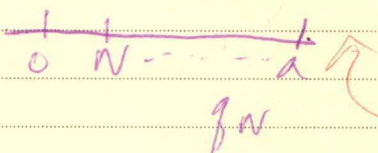
Let $a, b \in \mathbb{Z}$

$$a \equiv b \pmod{N} \rightarrow N \mid a-b$$

Fact $\forall a \in \mathbb{Z} \exists! b$ s.t. $0 \leq b \leq N-1$

Suppose $a > 0, N > 1$

$$\exists! f, b > 0 \in \mathbb{Z} \text{ s.t. } a = fN + b \quad 0 \leq b < N-1$$



Show uniqueness
Show existence

In particular we have a natural map

$$\text{mod } N \text{ map } \mathbb{Z} \rightarrow \mathbb{Z}_N$$

$$a \mapsto b \quad (\text{unique } b \in \mathbb{Z}_N \text{ s.t. } a \equiv b \pmod{N})$$

For $x, y \in \mathbb{Z}_N$

$$x \oplus_N y = x + y$$

$$N = 26$$

$$13 \oplus_{26} 14 = 1$$

Also:

For $x \in \mathbb{Z}_N$

$-x$ is the unique \star

$y \in \mathbb{Z}_N$ s.t. $x \oplus_N y = 0$

$$x \ominus_N y = x \oplus_N (-y)$$

Example: Shift Cipher

$$P = C = K = \mathbb{Z}_{26}$$

$$e_n(x) = x +_n k$$

$$d_k(x) = x -_n k$$

$k \in K$

Operation $\mathbb{Z}_N = \{0, \dots, N-1\}, N \geq 2$

addition $x +_n y = x + y \pmod{N}$ definition

multiply $x *_n y = x \cdot y \pmod{N}$

properties of addition

associative

$$(x +_n y) +_n z = x +_n (y +_n z)$$

commutative

$$(x +_n y) = y +_n x$$

$$x +_n 0 = x$$

additive inverse $x \in \mathbb{Z}_N, \exists! y \in \mathbb{Z}_N$ st. $x +_n y = 0$

associativity of multiplication

$$(x *_n y) *_n z = x *_n (y *_n z)$$

commutative of multiplication

$$x *_n y = y *_n x$$

$$x *_n 1 = x$$

Distributive

aka addition of multiplication

$$x *_n (y +_n z) = x *_n y +_n x *_n z$$

$$\mathbb{Z}_n = \{0, 1, \dots, n-1\}$$

Remark : If $0 \neq x \in \mathbb{R}$
 Then $\exists! y \in \mathbb{R}$ s.t. $x \cdot y = 1$
 (namely inverse of x)

$$\mathbb{Z}_4 \begin{array}{c|ccc} & 0 & 1 & 2 & 3 \\ \hline 0 & 0 & 1 & 2 & 3 \\ 1 & 1 & 2 & 3 & 0 \\ 2 & 2 & 3 & 0 & 1 \\ 3 & 3 & 0 & 1 & 2 \end{array}$$

Let $x \in \mathbb{Z}_n$
 We say that x is invertible
 if $\exists y \in \mathbb{Z}_n$ s.t.

$$x \cdot n y = 1$$

In this example y is the inverse of x modulo n
 denoted by x^{-1}

$$\mathbb{Z}_3 \begin{array}{c|ccc} & 0 & 1 & 2 \\ \hline 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 2 \\ 2 & 0 & 2 & 1 \end{array}$$

Fact \mathbb{Z}_n a field $\iff n$ is prime

defn: \mathbb{Z}_n is called a field
 if every $0 \neq x \in \mathbb{Z}_n$ is invertible

$$\mathbb{Z}^\times = \{ \text{all } x \in \mathbb{Z} \text{ which are invertible} \}$$

$$(\mathbb{Z}_n)^\times = \{ \text{all } x \in \mathbb{Z}_n \text{ s.t. } x \dots \}$$

prime factors has no non-trivial common

$$(\mathbb{Z}_n)^\times = (\mathbb{Z}_n)^\times$$

Affine Cipher

$$\perp : \gcd\{x, y\} = 1$$

2/3/2022

$$P = C = \mathbb{Z}_N$$

$$a, b \in \mathbb{Z}_N$$

$$e_{a,b}(x) = a \cdot x + b$$

recall the mapping

$$x \mapsto a \cdot x \text{ on } \mathbb{Z}_N$$

is 1:1 iff $a \in (\mathbb{Z}_N)^\times$

So the affine cipher is $P = C = \mathbb{Z}_N$

$$\text{and } K = (\mathbb{Z}_N)^\times \times \mathbb{Z}_N$$

and for each $a \cdot (a, b)$

$$e_{a,b}(x) = ax + b$$

$$d_{a,b} = e_{a,b}^{-1}$$

$$(\mathbb{Z}_N)^\times = \left\{ a \in \mathbb{Z}_N \left(\begin{array}{l} \text{has no} \\ \text{trivial} \\ \text{common} \\ \text{factors} \end{array} \right) \right\}$$

Fact

$$\subseteq a \in (\mathbb{Z}_N)^\times \rightarrow$$

$\rightarrow \exists y$ st.

$$ay = 1 \text{ in } \mathbb{Z}_N \rightarrow$$

$$kt \in \mathbb{D} \text{ st. } ay + tN = 1$$

So $\nexists p$ that divides a and N

We want to show

$$a \in (\mathbb{Z}_N)^\times \Rightarrow a \in \{a \perp b\}$$

Suppose $N \geq 2$

then $\exists!$ primes $P_i \neq P_j$ and integers m_1, \dots, m_k

$$N = P_1^{m_1} \cdot \dots \cdot P_k^{m_k}$$

In our case let $(\mathbb{Z}_N)^\times$

$a \in (\mathbb{Z}_N)^\times$, so $\exists 0 \leq x < x' \leq N-1$

s.t. ~~$a \otimes x$~~ $a \otimes x = a \otimes x'$

$$\iff a \otimes (x' - x) = 0 \iff \exists k \in \mathbb{Z} \text{ s.t. } a \otimes (x' - x) = kN$$

Decompose $N = P_1^{m_1} \cdot \dots \cdot P_k^{m_k}$
by the fact $\exists i: 1 \leq i \leq k$ s.t.

$$P_i \perp a \rightarrow a \in P_i$$

Euclid algorithm

$$\text{Given } y = a \otimes x + b$$

$$x = a \otimes (y - b) = d a \otimes (y)$$

Lemma 1

Let suppose $u, v \in \mathbb{Z}$
The greatest common divisor (gcd)

$$\exists x, y \in \mathbb{Z} \text{ s.t. } x \otimes u + y \otimes v = gcd(u, v)$$

In particular if $a \in (\mathbb{Z}_N)^\times$ then

$$\exists x, y \in \mathbb{Z} \text{ s.t. } x \otimes a + y \otimes N = 1$$

greater common divisor

Algorithm (Euclid)

Assume $u > v > 0$ then $\gcd(u, v) = \begin{cases} u, & \text{if } v = 0 \\ \gcd(v, u \bmod v), & \text{if } v > 0 \end{cases}$

$$\gcd(u, v) = \begin{cases} 0, & u = v = 0 \end{cases}$$

$$a \in (\mathbb{Z}_N)^\times \iff \gcd(N, a) = 1$$

Fact: $\exists x, y \in \mathbb{Z}$ s.t.

$$x \cdot u + y \cdot v = \gcd(u, v) \implies g \bmod N = a' \in \mathbb{Z}_N$$

Assume $u > v > 0$

computed $\gcd(u, v) = \begin{cases} O(\log_N(u)) \end{cases}$

Recursion:

Since $v > 0$

$$\text{Let } u = q \cdot v + r, \quad 0 \leq r < v$$

by inductive assumption

$$x' \cdot v + y' \cdot r = \gcd(v, r)$$

①

$$\gcd(u, v)$$

②
③

$$\implies \gcd(u, v) = x \cdot u + y \cdot v = x' \cdot v + y' \cdot r$$

$$= y' \cdot u + (x' - y' \cdot q) \cdot v$$

Alphabetic Cipher

Shift Cipher

$$P = C = \mathbb{Z}_N$$

$$c(x) = x + b$$

$$e_{a,b}(x) = a \cdot x + b \quad \text{Affine Cipher}$$

Hill Cipher $P = C = \mathbb{Z}^N$

Recall $M = (m_{ij}) \in M_{n \times n}(\mathbb{Z}_N)$

$$x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \in \mathbb{Z}_N^n$$

$$Mx = \begin{pmatrix} m_{11}x_1 + \dots + m_{1n}x_n \\ \vdots \\ m_{n1}x_1 + \dots + m_{nn}x_n \end{pmatrix}$$

Fact

$$\begin{array}{ccc}
 C_m \mathbb{Z}_N & \xrightarrow{\quad} & \mathbb{Z}^n \\
 \downarrow & & \\
 x & \longmapsto & Mx \in \mathbb{Z}^n
 \end{array}$$

is invertible $\Leftrightarrow M$ is invertible

if $\exists B \in M_n(\mathbb{Z}_N)$ st. $AB = BA = \text{Identity}$

notated $B = A^{-1}$

Hill cipher with K

$$C_m(x) = Mx$$

Fact! To compute M^{-1} out of M
 Gr. E. \rightarrow Gauss-Jordan Elimination
 order of n^3 operations.

Example: $M = 26$ $M_{2 \times 2} = \begin{pmatrix} 25 & 7 \\ 3 & 7 \end{pmatrix} \in M_2(\mathbb{Z}_{26})$

Fact $A \in M_n(\mathbb{Z}_n)$ is invertible iff $\det(A) \in (\mathbb{Z}_n)^*$

Do Hill cipher in blocks in blocks!

R U T H S T R I K E S O U T

17 20 19 7 18 19 17 8 9 0 4 18 14 20 19
4 9

$$e = \begin{bmatrix} 25 & 7 \\ 3 & 7 \end{bmatrix} \begin{bmatrix} 17 \\ 20 \end{bmatrix} = \begin{bmatrix} 134 \\ 191 \end{bmatrix}$$

$$(2 \cdot 17 + 7 \cdot 20) \text{ mod } 26 = 4$$

$$\begin{matrix} \downarrow 34 \\ (3 \cdot 17 + 7 \cdot 20) \text{ mod } 26 = 9 \\ \downarrow 191 \end{matrix}$$

$$m = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad m^{-1} = (ad - bc)^{-1} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

$$[A | I_n] \rightarrow [I_n | A^{-1}]$$

Permutation matrices

$$m = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \in M_3(\mathbb{Z}_n)$$

note

$$m^t \cdot m = I_3 \Rightarrow m^t = m^{-1}$$

Perm, permutation

Def: A permutation

of $\{1, \dots, n\}$ is a bijection

$$G: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$$

Notation: all permutations

$S_n =$ all permutations

$$\#(S_n) = n!$$

Q. map set of $n \times n$ Perm

$$\#(Perm) = ?$$

fact $\#(Perm) = n!$

Claim 2: Natural bijection

$$S_n \xrightarrow{\quad} Perm$$

$G \in S_n$ define $M(G)$

Example

$$G \in S_3$$
$$G(1) = 3, G(2) = 1$$
$$G(3) = 2$$
$$M(G) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

$$M(G) = \begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{pmatrix}$$

Claim

$M(G)$ is a $Perm$

and $G \mapsto M(G)$ is a bijection $S_n \rightarrow Perm$

Hill Cipher

$$E_A: \mathbb{Z}_n^n \rightarrow \mathbb{Z}_n^n$$

$$x \mapsto Ax$$

A is invertible $d_A(x) = A^{-1} \cdot x$

Permutation Matrices (PM)

$$M = (m_{ij}), m_{ij} \dots \in \{0, 1\}$$

& in every column and row

$\exists!$ non-zeroth entry

Fact $\exists M^{-1}, M^{-1} = M^t$

proof of Inverse/Inverse

Hill Cipher says

$$P = C = \mathbb{Z}_n^n, K = P_n$$

$$E_m(x) = Mx$$

Transposition Cipher

$$P = C = \{A, B, \dots\}$$

this set

Key-Space \geq Permutations of

Key-Word
Ordered by alphabet

BOMBE
1 5 4 2 3

now suppose we have the following message

CRYPTOGRAPHY IS FUN
1 5 4 2 3 1 5 4 2 3 1 5 4 2 3 1 5

$A^t \rightarrow$ C O H V A S Y T P F Z Y R I X G M

Sub:
 1) - map table
 2) decipherize back by multiply inverse
 $(1 2 3 4 5) \rightarrow (1 2 3 4 5)$
 $(1 5 4 2 3) \rightarrow (1 4 3 3 4)$

CRYPTOGRAPHY IS FUN

Bob

CRYPTOGRAPHY IS FUN
 x y z

COMURGYWYRI x PAS y TPF z

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 5 & 4 & 2 & 3 \end{pmatrix} \cdot B^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 4 & 5 & 3 & 4 \end{pmatrix}$$

another way

$$B \rightarrow \text{msg} \begin{pmatrix} x_1 \\ \vdots \\ x_5 \end{pmatrix} = \begin{pmatrix} x_G(1) \\ \vdots \\ x_G(5) \end{pmatrix}$$

$$\text{msg} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \in \text{msg}(e_2) = e_5$$

Suppose we have
 Alice → #
 CRYPTOGRAPHY IS FUN x y z
 x₁ x₂ x₃ x₄ ... x₂₀

$$\text{msg} = \begin{pmatrix} x_1 & x_1 & x_1 & x_1 & x_1 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ x_5 & \dots & \dots & \dots & x_5 \end{pmatrix} = \begin{pmatrix} R_1 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \end{pmatrix}$$

R_1 R_2 R_3 R_4

Null Space (*)

$$\text{img)}^T \begin{pmatrix} R_1 \\ R_2 \\ R_3 \\ R_4 \\ \vdots \end{pmatrix}$$

New topic introduce Charles

Cryptanalysis

$(e_k) = \text{"Alice"}$ $\xrightarrow{\text{A}}$ $(c_k) = \text{"Bob"}$

What can Charles do?

Assumption:

① Charles - knows the system

$\rightarrow P, C, K$

$\forall k$ knows e_k and from it c_k

* All security in the Key!

Let's assume further $(c_k e_k)(m) = \text{"noise"}$

$k' \neq k$

Cryptanalysis

2 22 22

$$P = C \quad k \in K$$



Charles (CA)

Assumptions: CA knows P, C, K $\forall k \in K$ "key space"
Charles does not know e_k, d_k (all sec in key)

- Q
- How long will encryption e_k be secure?
 - What is the cost to recover/compute k ?

Attack models: ~~more~~ standards of stability:

(a) ciphertext only

Charles knows some of y_n & x_n

~~and wishes~~ and wishes to get k

$$e_k(x_n) \quad d_k(x_n)$$

knows plain text "random text"
matched pairs $(x_n, y_n) \dots (x_n, y_n)$

(b) Charles can choose pairs

Goal #1 Estimate probability of success of various methods.

method #2 Estimate average time until given method is successful.

Randomness enters in:

- ① messages are not deterministic
- ② Keys are (usually) chosen in a random manner
- ③ C.A. can choose key at random

Probability

Ω
finite/countable

Omega "sample space"
"probability"

$$P: \Omega \rightarrow \mathbb{R}$$

(i) $\forall x \in \Omega \quad 0 \leq P(x) \leq 1$

(ii) $\sum_{x \in \Omega} P(x) = 1$

Def: The pair (Ω, P) is the probability space

coin Example #1 $\Omega = \{a, d\}$

$$P(a) = 1/2 \quad P(d) = 1/2$$

Die #2 $\Omega = \{1, \dots, 6\}$, $P(2) = 1/6$

Def. A subset

$X \subseteq \Omega$ is called an event

with $x \in \Omega$

we determine its probability to be

$$P(X) = \sum_{x \in X} P(x)$$

Nota

$$0 \leq P_T(X) \leq 1, \quad P_T(\Omega) = 1, \\ P_T(\emptyset) = 0$$

alibi

$$\textcircled{1} \quad P_T(X^c) = 1 - P_T(X)$$

Complement

$$\textcircled{2} \quad P_T(X \cup Y) \leq P_T(X) + P_T(Y)$$

Union

$$\textcircled{3} \quad P_T(X \cap Y) =$$

Intersection

Assumptions on $P, \mathcal{L}, K, e_k, d_k$

$$\forall x \in P, k \neq k' \quad d_k(e_k(x)) = \text{"noise"}$$

Model problem



Random Replacement
→ places trial balls
in batch @ each pull

how many draws
on average do we
expect we randomly draw
the blue dot?

Expectation
#1 Def a function $f: \Omega \rightarrow \mathbb{R}$ is
called random variable (RV)

#2 Def → If f is a RV on (Ω, P) the

$E(f) = \sum_{x \in \Omega} f(x) P(x)$ is the expected value
on the expectation of f

$$\text{Note! } E(\alpha f + \beta g) = \alpha E(f) + \beta E(g)$$

$$\forall \alpha, \beta \in \mathbb{R}, f, g \text{ RV}$$

Suppose f_1 is RV on $(\Omega_1, \mathcal{P}_1)$ & f_2
RV on $(\Omega_2, \mathcal{P}_2)$ -

consider

$$(\Omega_1 * \Omega_2, \mathcal{P}_1 * \mathcal{P}_2)$$

Defn We say that if f_1 and f_2 are
independent if $\forall a, b \in \mathbb{R}$

$$P \left(\left\{ (x, y) \in \Omega_1 * \Omega_2 \mid \begin{array}{l} f_1(x) = a \\ f_2(y) = b \end{array} \right\} \right)$$

$$= P \left(\left\{ x \in \Omega_1 \mid f_1(x) = a \right\} \right) \cdot P \left(\left\{ y \in \Omega_2 \mid f_2(y) = b \right\} \right)$$

Note $0 \leq P(x) \leq 1$ $P(\Omega) = 1$

$$P(\emptyset) = 0$$

Note: ① $P(x^c) = 1 - P(x)$

② \bar{P}

H.W

$$\Omega_1 = \Omega_2 = \Omega = \{u, d\}$$

$$E_u(x) = \begin{cases} 1 & x=u \\ 0 & x=d \end{cases}$$

$$E_d(y) = \begin{cases} 0 & y=u \\ 1 & y=d \end{cases}$$

① Show ~~that~~ E_u and E_d are independent

② E_u and E_d are not independent

probability

P, C, k

e_k, d_k

2/29/22

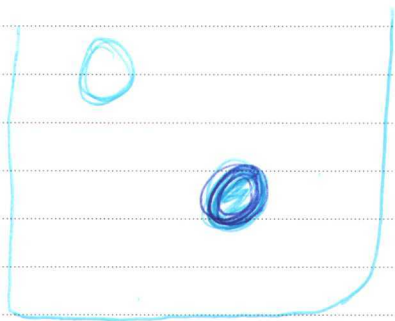
Assume:

$k' \neq k$

$(d_k \circ e_k)(x_0 \dots x_n) = \text{"noise"}$

↑
brute force the key

Model for search



○ n "white select"

● $n-1$ "black select"

Search w/ replacement - keeps the ball in the bunch after checking.

* Replacement:

$\Omega = \text{Sequences}$

"Probability of x "

$$P(x) = \left(\frac{n-1}{n}\right)^{l-1} \cdot \frac{1}{n}$$

$(\overset{l-1}{\underbrace{b b b b \dots b b}_x} w)$

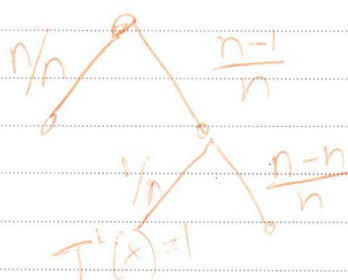
$T(x) = \text{length}(x)$

$$E(T) = \sum_{x \in \Omega} T(x) \cdot P(x)$$

$x \in \Omega$

* Claim: $E(T) = n$

Computation by Decision Tree



$$E(T) = 1 \cdot \frac{n-1}{n} + (1 + E(T)) \cdot \left(\frac{n-1}{n}\right)$$

$$E(T) = E(T)$$

$$E(T) = 1 \cdot \frac{1}{n} + (1 + E(T)) \cdot \frac{n-1}{n}$$

$$\Rightarrow E(T) = n$$

No Replacement

Example $n=4$

$$b_1bw = 1/4$$

$$b_2bw = 1/4$$

$$b_3w = 1/4$$

$$w = 1/4$$

$$E(T) = 1/4 (1 + 2 + 3 + 4)$$

$$= \frac{1}{4} \frac{4(4+1)}{2} = \frac{5}{2}$$

In general, n general n

$$E(T) = \frac{1}{n} (1 + \dots + n)$$

$$= \frac{1}{n} \cdot \frac{n(n+1)}{2} = \frac{n+1}{2}$$

Consequences

① For security, better to have larger set of keys.

② unless otherwise stated

We assume that a random choice of the key to test.

what is a good method to handle

of elements in various Key sets

- Shift cipher $\#(K) = N$

$$P = C = \mathbb{Z}_N, \quad K = \mathbb{Z}_N$$
$$e_K(x) = x + k$$

- Affine cipher

$$P = C = \mathbb{Z}_N, \quad K = (\mathbb{Z}_N)^\times \times \mathbb{Z}_N$$

How many $\#(\mathbb{Z}_N)^\times = \phi(N)$

↑ Euler ϕ function

Fact: $\phi(N) = N \cdot \prod_{p|N} (1 - \frac{1}{p})$

Fact:
2

$$\phi(N) \geq C \cdot \frac{N}{\log(\log N)}$$

in particular $\frac{N^2}{\log(\log N)}$

$$\#(K) = ?$$

5/1/2022

General Substitution cipher
(permutation cipher)

$$P = C = \mathbb{Z}_N, \quad K = S_N$$

BER: $e_K(x) = \text{perm}(\mathbb{Z}_N)$

$$\#(K) = N!$$

$$\approx \sqrt{N} \cdot \frac{N^N}{e^N} \sim N^N$$

Wigener (Polyalphabet)

$$P = C = \mathbb{Z}_N^n$$

$$K = \mathbb{Z}_N^n$$

Vectors of length $N - v^N$

$$K = (b_1, \dots, b_n) \in \mathbb{Z}_N^n$$

$$e_{b_1, b_n} (x_1, \dots, x_n) = (x_1 + b_1, \dots, x_n + b_n)$$

$$\neq (x_1, \dots, x_n)$$

$$\neq (x_1) \neq (x_2)$$

Hill cipher

$$P = C = \mathbb{Z}_N^n$$

$$K = GL_n(\mathbb{Z}_N)$$

$$\#(K) = ?$$

$$e_M \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = M \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

$$\#(GL_2(\mathbb{Z}_N)) \quad \begin{matrix} v_1 \\ v_2 \end{matrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad \begin{matrix} \text{sets} \\ v_1, v_2, v_3 \\ \text{are lin. ind.} \end{matrix}$$

\mathbb{Z}
 \mathbb{R}

Fact: $\#(GL_n(\mathbb{Z}_N)) = N^{n^2} \left(\prod_{p|N} \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{p^2}\right) \dots \right)$

Fact (Jordan)

$$\#(K) \leq N^{n^2}$$

$$c \cdot \log(\log n) \leq \#(K)$$

Summary

Cipher: $\log_2(\#(P)) \log_2(\#(K))$

Shift	$\log_2(N)$	$\log_2(N)$
Affine	$\log_2(N)$	$2 \log_2(N)$
Permutation	$\log_2(N!)$	$\log_2(N!)$
Vigenere	$N \log_2(N)$	$\log_2(N)$
Hill Cipher	$n \cdot \log_2(N)$	$N^2 \log_2(N)$

$$\prod_{i=1}^n x_i = x_1 \cdot x_2 \cdot \dots \cdot x_n$$

Practice

$$\#(GL_2(\mathbb{Z}_N))$$

Chapter Plain Text

CA - Charles
↳ choose

$$x_1, \dots, x_n \in \mathcal{P}$$

ex (get y_1, \dots, y_n) $\in \mathcal{C}$

goal find K

— ~~Fit~~: measure cost of attack by # of plain text required

Shift Cipher

$$y = x + K \Rightarrow K = y - x$$

1 - plain text \square

Affine Cipher

$$y = ax + b$$

$$\text{if } x=0, b=y$$

$$\text{if } x=1, y = a + b \\ a = y - b$$

2 - plain text \square

Wagner Cipher

blocks size n

$$e_{b_1, \dots, b_n} (x_1, \dots, x_n) = (x_1 + b_1, \dots, x_n + b_n)$$

Substitute $(0, \dots, 0)$

1 - block \square

Permutation Cipher

$$p = c = \mathbb{Z}_n, \quad k = \text{perm}(\mathbb{Z}_n)$$

$$y = \mathcal{E}(x)$$

to get \mathcal{D} from pairs (x_i, y_i, \dots)

n -plaintext \square

Hill Cipher

$$(p = c = \mathbb{Z}_n^m) \quad k = G \mathbb{Z}_n(\mathbb{Z}_n)$$

$$E_m(\vec{x}) = m \cdot \vec{x}$$

note!

$$m \cdot e_j = g_j(m), \quad n \text{ vectors} \quad \square$$

Fact

(1) Given the system the method we saw are optimal for known plaintext attacks

(2) In all methods the size of a cipher text is the size of the plaintext.

(3) permutation cipher needs a lot of plaintext

Known Plaintext Attacks

CA - gets (in a random manner)

several matched pairs

$$(x_n, y_n) \quad \dots \quad \text{goal } k = ?$$

Known Plaintext Attack

Assumption: CA gets $\exists \cdot (x, y) \dots$
at random and try to get $k \in K$

Model: CA gets x_1, x_2, \dots
Each x is random variable

$$Pr(x_1 = a_1, \dots, x_n = a_n) = \left(\frac{1}{\#P}\right)^n$$

Agreed: # of $x \in P$ required until CA gets correct k is a random variable

Goal: Compute expected value of

① Shift Cipher

$$P = L = \mathbb{Z}_N, \quad K = \mathbb{Z}_N$$

$$y = x + k$$

$$k = y - x$$

A plain text is enough & 100% we know k

② Affine Cipher

$$P = L = \mathbb{Z}_N, \quad K = (\mathbb{Z}_N)^* \times \mathbb{Z}_N$$

$$E_{a,b}(x) = ax + b$$

What is the probability for x_1 and x_2 the difference is invertible? (How over time)

$$Pr(x_1 - x_2 \in (\mathbb{Z}_N)^*)$$

Suppose $(x_1, y_1), (x_2, y_2)$

$$y_1 = a \cdot x_1 + b$$

$$y_2 = a \cdot x_2 + b$$

$$= \begin{pmatrix} x_1 & 1 \\ x_2 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}$$

can be computed explicitly

if and only if the det is invertible note: $\exists! a, b$ iff $x_1 - x_2 \in (\mathbb{Z}_N)^*$

Continued -

Fix $x_2 = 0$ now compute

$$\Pr(x_1 - x_2 \in (\mathbb{Z}_N)^\times)$$

$$\frac{N(P(N))}{N^2} = \frac{P(N)}{N}$$

$$\approx \frac{\log(\log(N))}{N} = \frac{1}{\log(\log(N))}$$

⇒ expected number of trials (true pairs of plain text need is $\log(\log(N))$)

Remark: $\begin{matrix} \text{OP} \\ \text{OR} \end{matrix} \begin{matrix} \text{---} \\ \text{---} \end{matrix} \begin{matrix} W \\ b \end{matrix}$

$p = E[\text{first appearance of } (b/W)]$

General Substitution Cipher

$P = C = \mathbb{Z}_N$, $K = \text{all permutations of } \mathbb{Z}_N$

$$\delta \in K \quad \phi_\delta(x) = \delta(x)$$

to know δ exactly we need to get all of $x \in P$

Model problem: we have N cards (coupon collection)

(# of times to draw cards uniformly at random) from till we expect to have drawn every card at least once.
Fact! Expect # of time till we get each card once is at least $N \log(N)$

Vigenere Cipher

$$P = L = \mathbb{Z}_W^n, \quad K = \mathbb{Z}_W^n$$

$$(y_1, \dots, y_n) = e_{b_1, \dots, b_n} (x_1, \dots, x_n)$$

If we have one block (x_1, \dots, x_n)
 (y_1, \dots, y_n)

→ of text (and cipher)

$$y_1 = x_1 + b_1$$

$$\vdots$$
$$y_n = x_n + b_n$$

} \implies get (b_1, \dots, b_n)

Shift Cipher

$$P = C = \mathbb{Z}_N \quad K = \mathbb{Z}_N \quad y = e_k(x) = x + k$$

$$P = (p_0, p_1, \dots, p_{N-1})$$

(A out of y computes)

$$Q = (q_0, q_1, \dots, q_{N-1}) \text{ takes } k \in K$$

Compute $\forall x \in K$

$$f_0(x), \dots, f_{N-1}(x) = N-1$$

$$Q = (f_0, f_1, \dots, f_{N-1}) \rightarrow k \in K \text{ s.t. } f_0 \sim p_0$$

Goal: more sensitivity

Trig: Gamma frequency domain

* 2nd method

$$\text{consider } P = (p_0, \dots, p_{N-1}) \\ Q_{(k)} = (q_0^{(k)}, \dots, q_{N-1}^{(k)})$$

$$\|P - Q\|^2 = \sum_{i=0}^{N-1} (p_i - q_i^{(k)})^2$$

$$= \sum_{i=0}^{N-1} p_i^2 - 2 \sum_{i=0}^{N-1} p_i q_i^{(k)} + \sum_{i=0}^{N-1} (q_i^{(k)})^2$$

constant

$$k \text{ time} = \max_{k \in K}$$

FFT Define for P, Q

Fact: can be done $O(n \log(n))$

$$(P * Q)(k) = \sum_{i=0}^{N-1} p_i \cdot q_i^{(k)}$$

How many functions from \mathbb{Z}_N to \mathbb{R} called

$$P \star Q = \hat{P} \circ Q$$

$$O(3N \log(N))$$

Cipher-text-only

3/2/2022

Assumption:

$$K' \neq K$$

$$d_{K'}(y_1) d_{K'}(y_2) \dots d_{K'}(y_N) \begin{array}{|l} P, C, \\ x \\ \hline e_K \\ d_K \end{array}$$

\hookrightarrow is not a text of the language

Example: English

$$E \sim 13\%, T \sim 9\%$$

Cipher-Text-only Attack (Shift Cipher)

$$P = C = \mathbb{Z}_N, K = \mathbb{Z}_N$$

$$y = e_K(x) = x + K$$

We have a vector of frequencies

$$P = (p_0, p_1, \dots, p_{N-1})$$

Knowing: y_1, \dots, y_N

on C CA makes another vector of frequencies

$$Q = (q_0, \dots, q_{N-1})$$

Next,

$\forall K \in K$, consider $Q^{(K)} = (q, q_{1+K}, \dots, q_{N+K})$

Method:

$$Q^{(K)} = P \rightarrow K \text{ or } (-K)?$$

$$Q^{(k)} = (q_k, q_{1+k}, \dots, q_{w+1+k})$$

Actual:

Want to know

$$Q^{(k)} \sim \mathcal{P}$$

Method 1: Euclidean distance (correlation method)

note: $\mathcal{P} > Q^{(k)} \in \mathbb{R}^N$

$$\|\mathcal{P} - Q^{(k)}\|^2 = \sum_{i=0}^{n-1} (p_i - q_i^{(k)})^2$$

$$\Rightarrow \sum_{i=0}^{n-1} p_i^2 + \sum_{i=0}^{w-1} (q_i^{(k)})^2 - 2 \sum_{i=0}^{w-1} p_i q_i^{(k)}$$

$$(a-b)(a-b) = a^2 + b^2 - 2ab$$

$$(\mathcal{P} \star Q)^{(k)} = \sum_{i=0}^{w-1} p_i - q_i^{(k)}$$

$$\max_{k \in K} (\mathcal{P} \star Q)^{(k)} \quad k \in K \rightsquigarrow \text{true key}$$

Fact: Forward Transformation

This Optimization can be solved in

$O(w \log(w))$ operations.



Idea:

$$\exists \hat{\cdot} : \mathbb{R}^N \rightarrow \mathbb{R}^w \text{ s.t. } \mathcal{P} \star Q = \hat{\mathcal{P}} \cdot \hat{Q}$$

Fact: This can be computed in $O(w \log(w))$

on Affine Cipher

$$P = C = \mathbb{Z}_N, \quad K = (\mathbb{Z}_N)^*$$

$$e_K(x) = ax + b$$

\downarrow
(a, b)

$$P_{a,b}, \quad Q = (q_0, q_1, \dots, q_{N-1})$$

$\downarrow \qquad \downarrow \qquad \downarrow$
 $q_b \qquad q_{a+b} \qquad q_{a(N-1)+b}$

3/24/2022

Cipher Text Only - Attack for Affine Cipher

$P = \mathbb{Z}_N$, $C = \mathbb{Z}_N$, $K = (\mathbb{Z}_N)^* \times \mathbb{Z}_N$, e_k, d_k
on plain text $P = \text{plain text}$

y_1, \dots, y_n $n > 0$

We have probability

$$\mathcal{P} = (P_0, P_1, P_2, \dots, P_{N-1}) =$$

and CA "crypt analyst" can compute

$$\mathcal{Q} = (q_0, \dots, q_{N-1})$$

We assume $n \gg \gg 0$

$$\exists! k \in K \text{ s.t. } \mathcal{Q}^{(k)} = (q_0^{(k)}, \dots, q_{N-1}^{(k)})$$

Goal: we want to find k_0


► Method 0: apply every k and verify

$$\mathcal{Q}^{(k)} = \mathcal{P}$$

► Method 1: Find two sets of # q_i, q_j & P_i, P_j

$$\text{s.t. } q_i = P_i$$

$$q_j = P_j$$

continue 

h
 (Assume $i-j \in (\mathbb{Z}_N)^*$) $\wedge \exists! k$ s.t.
 $k(i) = i'$ & $k(j) = j'$

we assume $(n \gg 0)$

$\exists! k_0 \in \underbrace{K}_{(a,b)}$ s.t.

$Q^{(k)}$

Goal: find $k \in K$ s.t.

$$Q^{(k)} \sim P_{N-1}$$

$$\begin{aligned} \|P-Q\|^2 &= \sum_{i=0}^{N-1} (P_i - q_i^{(k)})^2 \\ &= \sum P_i^2 + \sum (q_i^{(k)})^2 - 2 \sum_{i=1}^{N-1} P_i q_i^{(k)} \end{aligned}$$

$(P \times Q)^{(k)}$ maximum k └──┬──┘
P × Q

By FFT can be computed by

$O(\log(N) N^2)$ operations

Frequency Analysis

Cipher text only for Vigenere method

$$P = C = \mathbb{Z}_N^n, K = \mathbb{Z}_N^n$$

So correlation analysis can use method 0 or 1

Hill Cipher. ???

Shift Cipher - \mathbb{Z}

C-T-O Attack for Permutation Cipher

$$P = \mathbb{Z}_N = C$$

$$\#(K) = N!$$

$$K = \{ \sigma : \mathbb{Z}_N \rightarrow \mathbb{Z}_N \text{ st. } \sigma = \sigma^{-1} \} \\ = \text{Perm}(\mathbb{Z}_N)$$

From y_0, \dots, y_n

$$N \gg 20 \rightsquigarrow Q = (q_0, \dots, q_n)$$

$$\exists! \sigma \in K \text{ st. } Q^{(\sigma)} = (f_{\sigma(0)}, f_{\sigma(1)}, \dots, f_{\sigma(n)})$$

$$Q^{(\sigma)} \sim \mathcal{D}$$

method

method 1: I need at least N check. but assume = on of P & Q

method 2: correlation analysis and find σ

$$O(N!)$$

Shannon Theory

Chapter #14

Question: In cipher text only situation
as a function of $n \gg 0$

$(y_1, \dots, y_n \in C)$

~~too~~ How certain can we be about
a R R we compute to be the true key?

Def of The Entropy of $P = \{P_i, i \in \Omega\}$
is $H(P) = -\sum_{i \in \Omega} p_i \log p_i$

Remark:

- ① people think H as measuring the uncertainty in random choice from Ω using $\{P_i\}$
- ② $\log = \log_2$ & people speak of a choice from Ω using P_i 's as containing H bits of information per element of Ω

Example: If $\sum p_i = \frac{1}{\#(\Omega)} \forall i \in \Omega$
the $H(\text{P information}) = -\sum_{i \in \Omega} \frac{1}{\#(\Omega)} \log(\frac{1}{\#(\Omega)})$
is Periform $-(-\log(\#(\Omega))) = \log(\#(\Omega))$

Fact: (a) Note! $H(p) \geq 0$

(b) $\max H(p) = \log(\#\Omega)$

(c) $\sigma_{i_0} = \{p_{i_0} = 1, p_i = 0\}$

$\therefore H(\sigma_{i_0}) = 0$ $i \neq i_0$

$$-\sum_{i \in \Omega} p_i \log(p_i)$$

Example: English alphabet

$N = 26$ $\log(26) \approx 4.67$

Note: we can represent all letters using 5 bits
i.e. sequencing length of 5, 1 and 0

0	A = 00000
1	B = 00001
2	C = 00010
3	D = 00011
4	E = 00100
5	⋮
⋮	⋮
25	Z = 11001



How many bits of info. per letter
exists for the English
language? $N \approx 5$

Fact! $P_A = 3683/50,000$

$P_B = 487/50,000$

$P_Z = 49/50,000$

experimental

$H(p) = 4.167$

we'll see

what this

number means

Data Compression

Fact! H controls the "measure of compressibility" of "languages"

eg. $\Omega = \{A, B, C, D, E\}$

Given $P_A = 0.05$

$P_B = 0.05$

$P_C = 0.1$

$P_D = 0.3$

$P_E = 0.5$

$H(P) = 1.78$

Consider the encoding

A	B	C	D	E
0000	0001	001	01	1
4	4	3	2	1

Note! No code word is a prefix of another code word.

$= 0.05 \times 4 + 0.05 \times 4 + 0.1 \times 3 + 0.3 \times 2 + 0.5 \times 1$

$= 1.8 \approx H(P) = 1.78$

Fact! \exists encoding of A, B, C, D, E s.t.

their average is $1.78 + \epsilon$

Fact! \exists code s.t. average length is

$< H(P) = 1.78$

Formal statement on this continued

Suppose (Ω, P) is a probability space (or "language")

Def. (i) A coding (or code) C for Ω is a mapping

$$C: \Omega \rightarrow \bigcup_{n \geq 1} \{0, 1\}^n$$

(ii) A code C is called Prefix if no $C(x)$, $x \in \Omega$, is prefix of another $C(x')$, $x \neq x' \in \Omega$ one-to-one

(iii) the length of $n = l(x) = l(C(x))$ of a code word $C(x)$, $x \in \Omega$ is the unique n s.t. $C(x) \in \{0, 1\}^n$

Definition "No Expected Value" $E(\cdot)$

Consider is a numerical random variable

$$l: \Omega \rightarrow \mathbb{R}$$

is called the expected length of C

denoted, $L(C)$, $C: \Omega \rightarrow \bigcup_{n \geq 1} \{0, 1\}^n$

i.e.

$$L(C) = \sum_{x \in \Omega} p(x) l(x)$$

Theorem: \exists a code C for Ω , s.t.

$$H(\mathcal{P}) \leq L(C) < H(\mathcal{P}) + 1, \forall \mathcal{P} \exists C \text{ s.t. } L(C) < H(\mathcal{P})$$

Compression Entropy $\Omega, p = \{p_1, \dots, p_n\}$

$$H(p) = -\sum p_i \log(p_i) \quad \text{lower bound}$$

Recall: a coding of Ω is a (1:1) mapping

$$c: \Omega \rightarrow \bigcup_{n \geq 1} \{0,1\}^n$$

$$XUY = \left\{ Z \mid \begin{array}{l} Z \in X \\ Z \in Y \end{array} \right\}$$

p_x - probability of x

And we say that c is **prefix code** if no $c(x)$, $x \in \Omega$ is prefix of other $c(x')$, $x \neq x' \in \Omega$

And finally $n = l(x) = l(c(x))$, it is the unique n s.t.

$$c(x) \in \{0,1\}^n$$

In particular

$$L(c) = E(l)$$

is called
the Expected
length of c

$$= \sum_{x \in \Omega} p(x) l(c(x))$$

Problem: what is the smallest possible

$L(c)$, c runs over all possible codes for Ω ?

Theorem: $\forall \epsilon > 0, \exists$ a code $c: \Omega \rightarrow \bigcup_{n \geq 1} \{0,1\}^n$
s.t. $H(p) \leq L(c) < H(p) + \epsilon$

~~\exists~~ code c s.t. $L(c) < H(p)$

Remarks: denote

by minimum code s.t.

$$\sum_{x \in \Omega} P(x) l(x) = L(c_{\min}) = H(P) = \sum_{x \in \Omega} P(x) \log\left(\frac{1}{P(x)}\right)$$

to consider

(i) $\log\left(\frac{1}{P(x)}\right)$ as the arrangement bit of information content of $x \in \Omega$

(ii) ~~and~~ $H(P)$ as the average information
(Ω, P)

Huffman Code (~1950s)

$$\Omega, P = \{P_1, \dots, P_N\}$$

Goal: Construct code which gives $L(c) = H(P)$

① take x_i & x_j with P_i smallest and "replace" them by ~~another~~ ^{new} letter P_i (add to Ω) with probability

$$P(y) = P_i + P_j$$

• Replace until only symbol remains

To do this process we build a binary tree

Leaves: original

after having the code,

path from the root of those leaves

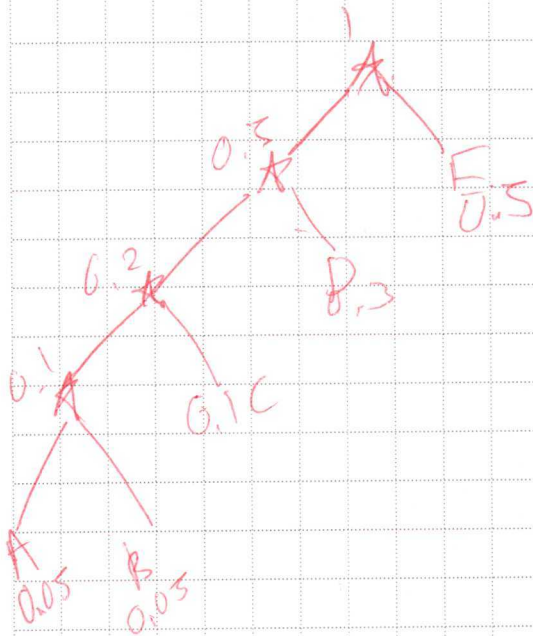
write 0 for left move & 1 for right move

Example $\sum_p \frac{A}{.05} \cdot \frac{B}{.05} \cdot \frac{C}{.1} \cdot \frac{D}{.3} \cdot \frac{E}{.5}$

$$H(p) = 1.78$$

C St.
 $L(C) = 1.8$

C is Huffman code:



$A \rightsquigarrow 0000$
 $B \rightsquigarrow 0001$
 $C \rightsquigarrow 001$
 $D \rightsquigarrow 01$
 $E \rightsquigarrow 1$

→ Shannon's info. theoretical approach to crypto

Q What is the (averaged) uncertainty about the key that remains affect CA has seen in ciphertext symbols?

Shannon's approach

Everything is a random variable (in particular all sets are equipped with probability distributions)

cryptosystem

$$P, P(x) = \text{Prob}(P=x)$$

4/5/2022

Shannon's approach to Crypto

So $f(x): A \rightarrow B$ $g(y): B \rightarrow A$

Strength Analysis - Motivation: How safe is it for to use $f(x)$ & $g(x)$. Consider $\exists CA_{(a,b)} = (a,b) = k \in K$

Everything is a random variable

So if sets $\in P$ all sets have a probability distribution.

CRYPTO SYSTEMS

Plain text P is in a probability set denoted as

$\text{Prob}_P(x) = P(x)$ otherwise $\text{Prob}(P=x)$

$K \rightsquigarrow \text{Prob}_K$ $C \rightsquigarrow \text{Prob}_C$

Assume the following:

① P, K are independent determined

if we have probability distribution $\text{Prob}_{P \times K}$

and $\text{Prob}_{P \times K}(x, k) = \text{Prob}_P(x) \cdot \text{Prob}_K(k)$

so they're not related

② C is determined by P & K

③ P is determined C & K

We consider

$P_n, x_1, x_2, \dots, x_n, x \in P$

C_n, y_1, \dots, y_n

(continued)

Discovery of Shannon

4/5/2022

Definition: The Numerical Value

Bad notation:

$$E_n = H(I_n) + H(K) - H(C_n)$$

$$H(\text{prob}_{I_n}) + H(\text{prob}_K) - H(\text{prob}_{C_n})$$

this is called

Key Equivocation Ambiguity

Remark: people think on E_n as the average uncertainty about the key that remains after CA saw ~~the~~ n symbols from C

more remarks:

(i) ~~as~~ $n \rightarrow 0$: $H(K) - \text{max}$, uncertainty about key.

$$E_0 = H(K)$$

(ii) By intuition: lemma $\lim_{n \rightarrow \infty} E_n \rightarrow 0$ can be proved \square

(iii) In general it is difficult to compute $H(C)$ so E_n 's are also ~~not~~ not easy to compute E_n .

~~(iv)~~ If X, P_X, Y, P_Y suppose P_X & P_Y are independent
i.e. $P_{X=Y}(x,y) = P_X(x) \cdot P_Y(y)$

RSA Specified

Key Computation

the modulus

1.) Choose two (large) primes compute $n = pq$

Modern computing looks for $N \geq \sim 2,000$ bits
so factorization is out of range for most computers

p & q are 600-digits long

2.) Compute $\lambda = (p-1)(q-1)$ ← the totient

3.) Choose e s.t. $\text{gcd}(e, \lambda) = 1$

Public Key is (n, e) encryption

4.) Compute the inverse of e mod λ

i.e. $de = 1 \pmod{\lambda} \rightarrow (n, d)$ secret decrypt

Key

To encrypt a message $[m]$, compute $(m^e) \pmod{n}$

$(m^e) \pmod{n} = y \rightarrow$ encrypted msg.

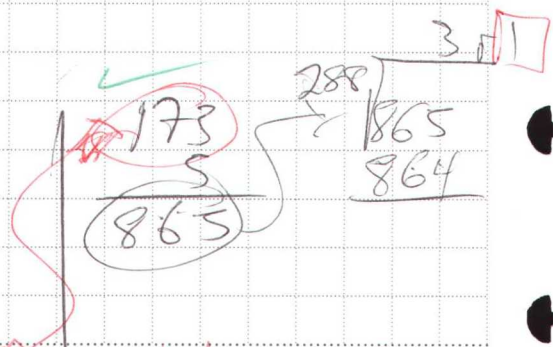
To decrypt an encrypted message y
compute $(y^d) \pmod{n}$ → gives it back

Ex: $p = 17$ $q = 19$ $17 * 19 = 323 = n$

$\lambda = (p-1)(q-1) = 16 * 18 = 288$

$e = 5$ $\text{gcd}(5, 288) = 1$

Public Key $(323, 5)$



Decrypt secret $(323, 173)$

Proof: If $a \equiv 0$

$a \equiv 0$, then a is a UNIT (i.e. $\gcd(a, p) = 1$)
 $\exists b$ st. $ba \equiv 1 \pmod{p}$

$$a^p \equiv a \pmod{p} \iff a^{p-1} \equiv 1 \pmod{p} \quad \square$$

Example

x	1	2	3	4	5	6
$a \cdot x \pmod{p}$	3	2	2	5	1	4

we want to show

$$3^6 \equiv 1 \pmod{7}$$

$$3 \cdot 6 \cdot 2 \cdot 5 \cdot 1 \cdot 4 = 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot 6$$

$$(3 \cdot 1)(3 \cdot 2)(3 \cdot 3) \dots (3 \cdot 6) = 1 \cdot 2 \cdot 3 \cdot \dots \cdot 6 \pmod{7}$$

$$3^6 = 1 \pmod{7} \quad \square$$

Putting it all together.

Claim:

RSA is correct, i.e. $(\text{decrypt})(\text{encrypt})(m) = m$

Proof: We are asking if $(m^e \pmod{n})^d \pmod{n} = m$

LHS - $m^d \pmod{n} = m^{1+k\lambda}$

$$= m \cdot (m^{\lambda})^k \pmod{n}$$

$$= m \cdot (m^{(p-1)(q-1)})^k \pmod{n} \stackrel{?}{=} m$$

Recall
 $a^{\phi} \equiv 1 \pmod{n}$
 $a^e = 1 + k\lambda$
 $\exists k$

Continue

Continued

Instead of checking $LHS = RHS \pmod a$,
we'll check $LHS = RHS \pmod p$
and $LHS = RHS \pmod q$ } CRT.

$\forall m \equiv 0 \pmod p$ ✓

$m \not\equiv 0 \pmod p \Rightarrow m^{p-1} \equiv 1 \pmod p$

$LHS = m \cdot (m^{p-1})^{q-1} \equiv m \pmod p$

Same for the RHS / q

Back to Shannon & Gwyfto

M_1, \dots, M_n

$P_n = X \cdot X_2$

C_n
 R

Definition $E_n = H(P_n) + H(K) - H(C_n)$
~~is called~~ is called Key Ambiguity
(equivocation)

Remark: we think on E_n is the average uncertainty about the key that remain after CA has seen n symbols of cipher text

Remark

we assume
uniform
prob

① $n=0, E_0 = H(K) = \#(\log(K))$

② $E_n \rightarrow 0$
 $n \rightarrow \infty$

Assumption Definition

If P_x, P_y are independent

$$P(x, y) = P_x(x) \cdot P_y(y)$$

Then

$$H(P_{x+y}) = H(P_x) + H(P_y)$$

Reasonable assumption

$$H(P) = n \cdot H(p)$$

note

$$H(L_n) \leq \log(\#(L_n))$$

suppose $p=c, \#(P)=W$

$$= n \cdot \log(\#(C))$$

$$E_W = H(K) + H(P_N) - H(L_n)$$

$$\geq \log(\#(W)) - n \cdot H(p) - n \log(W)$$

notation tendency $\leadsto R = \log(W) - H(p)$

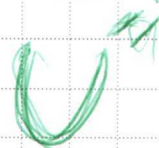
compute

$$\geq \log(\#(W)) - n \cdot H(p) - n \log(W)$$

determine $R = \log(\#(K)) - nR$

In Particular if $E_W = 0 \Leftrightarrow n \geq \frac{\log(\#(W))}{R}$

normal utility



Remark: People think on U as the length n of \mathcal{U} depend if the system is not safe anymore.

Examples Bias random plain text on two symbols (Permutation cipher on \mathbb{Z}_2)

$$P = \{0, 1\}, \quad P(0) = p, \quad P(1) = q = 1 - p$$

$$R = \{id, \tau\} \quad \begin{cases} I(0) = 1 & P(0) = 1/2 \\ I(1) = 0 & P(1) = 1/2 \end{cases}$$

$C = P$ — on the level of set

Goal! compute $E_n = H(C^n) = H(k) + H(c)$

$$H(C^n) = ?$$

Suppose $y_1 \dots y_n$ is a string

with m 1's and $n-m$ 0's

→ the probability of getting a sequence

$$\frac{p^m \cdot q^{n-m}}{2} + \frac{p^{n-m} \cdot q^m}{2}$$

$$H(C^n) = - \sum_{y \in \{0,1\}^n} P(y) \cdot \log(P(y)) \rightarrow \sum_{\binom{n}{m}} \frac{p^m \cdot q^{n-m} + p^{n-m} \cdot q^m}{2}$$

$$H(K) = \log(2)$$

$$H(p^n) = n \cdot H(p, q) \quad \left(\begin{array}{l} 1-p \\ q \end{array} \right)$$
$$\geq n (p \log(p) + q \log(q))$$

Numerics

$$p = 0.25, \quad q = 0.75$$

E_0	$= 1$
E_1	$= 0.813$
E_2	$= 0.6081$
E_3	$= 0.385$
E_{10}	$= 0.163$
E_{20}	$= 0.03$
E_{50}	$= 0.003$

Remark

$$U = \frac{\log(\#(K))}{R}$$

R

$$= \frac{1}{R} = 5.3$$

Shannon Key Equivocation

$$E_n \geq \log(\#(K)) - n$$

$$R = \log(K) - H(p)$$

$$E_n = 0 \Rightarrow n \geq U = \frac{\log(\#(K))}{R}$$

$$E_n \uparrow \log(\#(K))$$

Perfect Secrecy

def: The system has perfect secrecy if (P, K, C) are independent

Fact: If the system has PS then

$$\#(K) \stackrel{\#P}{\geq} \text{Possible}$$

Remark:

(1) Condition is not enough to give PS

(2) ~~⊗~~ Suppose $K = \text{perm}(P) \iff K = P!$
then we have PS

HW - Find Example

$$\#(P) = 4, \#(K) = 4, K$$

$C = P$, with induced distribution, a.i.t.

St. your system has no PS

Take $k_1 \in K$, take $x_1 \in P$
Consider $e_{k_1}(x_1) = y_1$

$$k_n \rightarrow x_1 \neq x_2$$

$\exists k_2$ s.t. $e_{k_2}(x_2) = y_1$

$$\begin{aligned} \text{prob}(x_2, y_1) &= 0 \\ &\neq \text{does not equal} \\ P(x_2) &= P(y_1) \\ &\neq 0 \quad \neq 0 \end{aligned}$$

note: $k_2 \neq k_1$

$$x_i = d_{k_i}(y_n) = d_{n-i}(y_n) = x_n$$

Continued this way

possible $\rightarrow k$

$$x_1 \rightarrow k_1$$

$$x_2 \rightarrow k_2$$

Stream cipher - one time pad

Take message x_1, x_2, \dots and
(pseudorandom) set of keys

k_1, k_2, \dots

and produce

y_1, y_2, \dots

Eg. The Vigenere system is a \star affine
stream cipher or ~~transposition~~ cipher

$p = c = \mathbb{Z}_n$ $k = \mathbb{Z}_n$ take $k \in K$ at random

$$y_i = x_i + k_i$$

uniformly
at random

\mathbb{Z}_n^n , k . we demand is huge

Claim: The one-time pad gives perfect secrecy

Random # generator

\star Goal: Good sequence $k_1, \dots, k_n \in \mathbb{Z}_n$
behave as if they have same information as random

\hookrightarrow multiplicative affine RNG

Affine

Take $n \geq 2$, $a, b \in \mathbb{Z}_n$
 $a \in (\mathbb{Z}_n)^\times$ and $k_0 \in \mathbb{Z}_n$

and n so that \mathbb{Z}_n
 $k_i = ak_{i-1} + b$, $i = 1, \dots$
Seed \uparrow

Perfect Secrecy

11/19/2022

$$k \in K \quad \mathbb{P}_n - \mathbb{P}_n \longrightarrow \mathbb{C}_n$$
$$(\mathbb{P}_n, \mathbb{C}_n, K)$$

~~Definition~~ we say that (*)

has perfect secrecy if the
random variable \mathbb{P}_n & \mathbb{C}_n are
independent variables i.e.

$$\text{Prob}(\vec{x} = \vec{a}, \vec{y} = \vec{b}) = \text{Prob}(\vec{x} = \vec{a}) \cdot \text{Prob}(\vec{y} = \vec{b})$$

Fact: If (\star) has perfect secrecy

$$\text{then } \#((P_n)_{\text{possible}}) \leq \#(K_n)$$

Example $N=4, Z_N = \{0, 1, 2, 3\}$

$$\textcircled{1} P = Z_4 = C, K = \{k_1, k_2, k_3, k_4\}$$

has a probability dist.

$$P_{\text{possible}} = P$$

has a uniform distribution

$$(P, C, K)$$

we do not have perfect secrecy

$$\text{Prob}(x=0, y=3) = 0$$

pro

$$\textcircled{2} \text{ Shift cipher } E_k(x) = x + k$$
$$P = C = K = Z_N$$

any distribution \uparrow uniform

Claim (P, C, K) has perfect secrecy

$$\begin{aligned} \text{prob}(x=a, y=b) &= \text{prob}(x=a, k=b-a) \\ &= \text{prob}(x=a) \cdot \frac{1}{N} \\ &= \text{prob}(x=a) \cdot \text{prob}(y=b) \end{aligned}$$

TO DO
3

Consider:

$$P_n = (\mathbb{Z}_n)^n = C_n = K_n$$

Claim (P_n, C_n, K) ^{Uniform}

has Perfect Secrecy

4

$$P_2 = (\mathbb{Z}_2)^2 = C_2$$

Any msg
of $x_0 \in \mathbb{Z}$
possible
prob.

$$K = \mathbb{Z}_2$$

$$e_k(x_1, x_2) = (x_1 + k, x_2 + k)$$

(P_2, C_2, K) has no PS

Stream Cipher & One-time Pad

Recall

P, C, K	Stream (P_n, C_n, K_n) $e_k(\vec{x}) = e_k(x_1, \dots, x_n)$
x_1, x_2, \dots, x_n	
k_1, k_2, \dots	
y_1, y_2, \dots, y_n	

Eg. $P=C=\mathbb{Z}_2^2=K$

one-time system $P_n = (\mathbb{Z}_2)^n = C_n = K_n$

Least Common Generator, affine
 "random # generator"

$$n \geq 2, a, b \in \mathbb{Z}_n$$

$$a \in (\mathbb{Z}_n)^\times \quad R_0 \in \mathbb{Z}_n$$

↑
seed

Next, construct

$$R_0 = aR_0 + b \dots$$

$$R_1 = a \cdot R_{i-1} + b$$

if \mathbb{Z}_n is equipped with uniform dist.

Maximum period sequence

Fact: if $N = p_1^{e_1} \dots p_k^{e_k}$

$$a \in (\mathbb{Z}_n)^\times, b \in \mathbb{Z}_n$$

then $\{R_0, R_1, \dots, R_{N-1}\}$ has period N

iff

$$\gcd(N, D) = 1 \quad n \neq i=1, \dots$$

①

②

8

③

$$P_1 \neq a-1$$

$$4 \mid N \cdot \text{then } 4 \mid a-1$$

Example

$$N = 8 = 2^3$$

$$a = 5, b = 1$$

$$0, 1, 6, 7, 4, 5, 2, 3$$

One Time Pad - theoretical perfect secrecy

$$P = Z_N = C = k$$

$n \leq N$

$$x_1 = x_2 \dots x_n \in Z_N$$

$$+ \quad + \quad +$$

$$k_1 \quad k_2 \quad k_n \in Z_N$$

$$\parallel \quad \parallel \quad \parallel$$

$$y_1 \quad y_2 \quad y_n$$

$$K_i = aK_{i-1} + b$$

LCG - Lehman

$$a \neq 3 \quad b \neq 0$$

k_0	k_1	k_2	k_3	k_4	k_5	
0	5	20	13	18	17	(repeats)
1	8	3	14	21	16	"
2	11	12	15	24	25	"
3	3	22	19	10	9	"
4	17	(repeats)				

$$M = P_i^a - P_i^e$$

$$\text{For } N = 2^3 = 8$$

- ① $\gcd(N, b) = 1$ so N & b are coprime
- ② $\forall i \quad P_i \mid (a-1)$
- ③ If $4 \mid N \Rightarrow 4 \mid (a-1)$

Charles wants to bind n

N, a, b

$$k_0, k_1, \dots, k_{n-1}$$

Continued

$$k_i, k_{i-1}, \dots, k_{i-N} = d_i$$

$$d_i = k_i - k_{i-1} \quad \uparrow \text{ difference}$$

$$\begin{aligned} \sum k_i &= ak_{i-1} + b \\ \sum k_{i-1} &= ak_{i-2} + b \end{aligned}$$

$$d_i = a(k_{i-1} - k_{i-2}) = ad_{i-1}$$

if invertible $d_{i-1} \in (\mathbb{Z}_N)^\times$

$$a \equiv d_i d_{i-1}^{-1}$$

$$k_i = a^i k_0 + (a^{i-1} + \dots + a^2 + a + 1)b$$

if $i=0 \rightarrow = 0$

Induction Proof
Let $i=0$

$$\dots$$

$$\dots \cdot a k_0 + (a^{i-1} + \dots)$$

$$d_i = k_i - k_{i-1} = a^{i-1} ((a-1)k_0 + b)$$

which shows

$$\therefore \gcd((a-1)k_0 + b, N) = \gcd(b, N) = 1$$

$a-1 \equiv 0 \pmod{p}$

$\forall i$ this proves $d_i \in (\mathbb{Z}_N)^\times$ \Rightarrow $b \in (\mathbb{Z}_N)^\times$

invertible \star invertible \Rightarrow invertible

Evenex
Public functions

Rivest, Shamir, Adleman

(35) - RSA

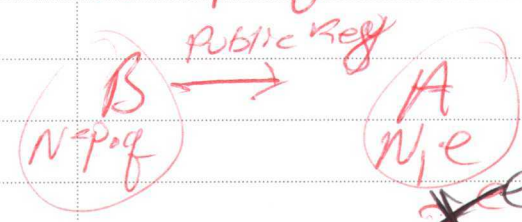
TO DO

$A \rightarrow B$

$N = p \cdot q$

$e \cdot d \equiv 1 \pmod K$

$d_e \equiv 1 \pmod{(p-1)(q-1)}$



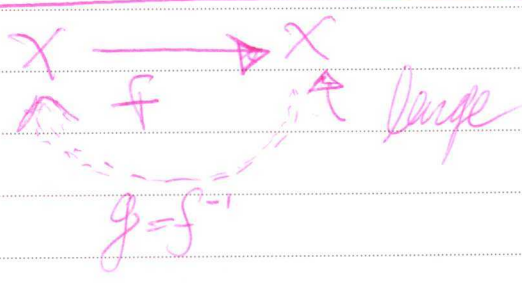
~~$x \mapsto x^e$~~

$x \mapsto E(x) = x^e \pmod N$
 $x^{ed} = x \pmod N$

~~$E(x) = x^e$~~

~~$A \xrightarrow{E} B^{N=p \cdot q}$~~
 ~~$E(x) = x^e$~~
 $e_j \cdot (p-1)(q-1) = k$

RSA:



bij - nice formula

Ex:

① $\mathbb{Z}_N, N = p \cdot q, e \in (\mathbb{Z}_K)^*$

$\log(x) \approx \#(\mathbb{Z}_N)^*$

$K = (p-1)(q-1)$

$E: \mathbb{Z}_N \rightarrow \mathbb{Z}_N$
 $x \mapsto x^e$

this means there is a $d =$

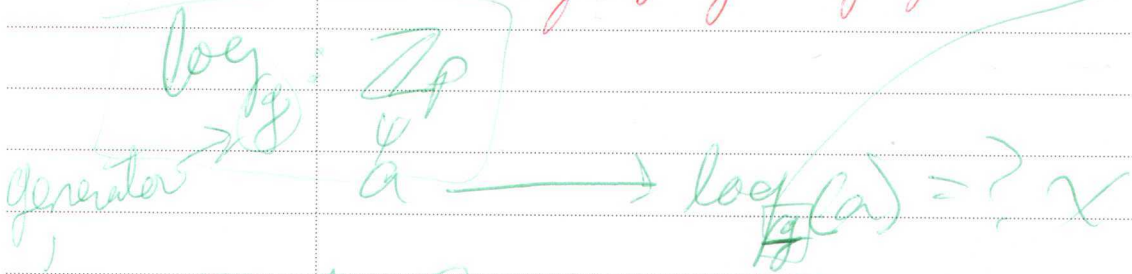
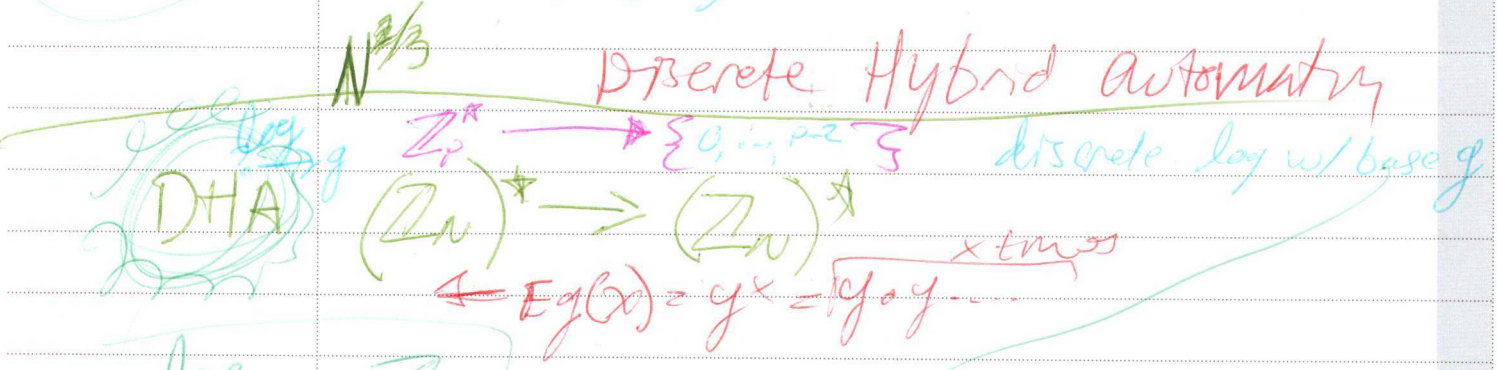
so $D(E(x)) = x \pmod N$

TO DO

$A \xleftarrow{N} B$
 $\text{res}_N \rightarrow \text{rel}_N = x^e$
 $N = p \cdot q$
 $e, d \quad (p-1)(q-1) = \phi$
 $x \cdot e \cdot d$

$x^e \rightarrow x$
 $d = ? \leftarrow k = \phi(N)$

Discrete Hybrid Automata



$\mathbb{Z}_p^* = \{1, \dots, p-1\} \ni \exists g \text{ st. } \forall y \in \mathbb{Z}_p^* \exists! x \text{ st. } y = g^x$

$N = 3 \cdot 17 = 51$
 $e = (p-1)(q-1) = 2 \cdot 16 = 32$
 find soln. \dots to $d_k \equiv 1 \pmod{32}$

choose $e=3 \cdot d=11$

Let $m = 2$ then $E(m) = 2^3 \pmod{N=51}$

$8^{11} = ((8^2)^2 \cdot 8) \pmod{51}$

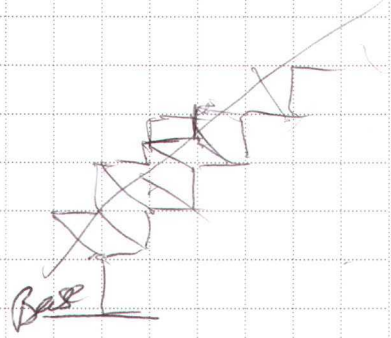
$8^2 = 64 \equiv 13$
$13^2 = 169 \equiv 16$
$16 \cdot 8 = 128 \equiv 26$
$26^2 = 676 \equiv \dots$

$$(x^e)^d \equiv x(w)$$

FLT - Fermat's Little Theorem

$$p \ a \in \mathbb{N}, \ a^p \equiv a \pmod{p}$$

proof by induction



Base $a=0$ ✓

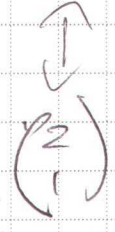
Induction

$$(a+1)^p = a^p + \sum_{i=1}^{p-1} \binom{p}{i} a^{p-i} + 1^p$$

$$(a+1)^2 = a^2 + 2(a) + 1$$

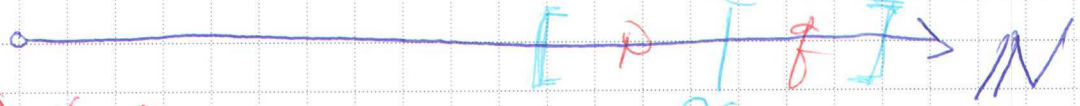
mod p

$$a^p + 0 + 1 = a+1$$



Consider p, q very large primes
prime number theorem

$$\# \approx \frac{x}{\log(x)}$$



$$e = (p-1)(q-1)$$

k

x
very large

Almost every
element
is prime

$$\mathbb{Z}_N \approx \frac{N}{\log(N)} \approx N$$

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① Choose a base at random,
 $1 \leq a \leq p-1$ & let $p-1 = m \cdot 2^k$
 where m is odd

Compute the sequence

x_0, x_1, \dots, x_{k-1}

$$x_k = a^{m \cdot 2^k} \pmod{p}$$